

FORM PTO-1449

INFORMATION DISCLOSURE CITATION

| Attorney | Docket: |
|----------|---------|
| 4303-402 | 4US9 |

Serial No.: 10/644,465

Applicant:

Henry Marshall Israel et al.

Filing Date: August 20, 2003 Group Art Unit:

Page 1 of 5

3731

U.S. PATENT DOCUMENTS Sub-Examiner Class Class Filing Date **Patent Number Issue Date** Name Initial 03/8/94 Boneau 5,292,331 Cardon et al. 5,383,892 01/24/95 10/8/02 Cox 6,461,380 04/11/95 Cragg 5,405,377 09/10/96 5,554,181 Das 05/7/96 Ellis et al. 5,514,093 07/1/97 Fischell et al. 5,643,312 03/9/99 Fischell et al. 5,879,370 05/24/94 Fontaine 5,314,472 12/7/93 Gailey et al. 5,268,534 4,800,882 01/31/89 Gianturco 02/1/94 Gianturco 5,282,824 5,035,706 07/30/91 Giantureo et al. 08/15/89 Hillstead 4,856,516 05/28/91 Hillstead 5,019,085 Hillstead 05/26/92 5,116,365 08/15/95 Khosravi et al. 5,441,515 01/14/97 Klein 5,593,442 Kleshinski et al. 5,540,712 07/30/96 Israel et al. 03/31/98 5,733,303 Israel et al. · 12/1/98 5,843,120 10/26/99 Israel et al. 5,972,018 10/21/03 Israel et al. 6,635,084B2 5,649,952 07/22/97 Lam 10/27/92 Lau et al. 5,158,548

Page 2 of 5

FORM PTO-1449

INFORMATION DISCLOSURE CITATION

Attorney Docket: 4303-4024US9

Serial No.: 10/644,465

Applicant:

Henry Marshall Israel et al.

Filing Date: August 20, 2003

Group Art Unit: 3731

| U.S. PATENT DOCUMENTS | | | | | | |
|-----------------------|---------------|------------|----------------------|-------|---------------|-------------|
| Examiner Initial | Patent Number | Issue Date | Name | Class | Sub- Class | Filing Date |
| · Om | 5,421,955 | 06/6/95 | Lau et al. | | | |
| | 4,994,071 | 02/19/91 | MacGregor | | | · |
| | 5,507,767 | 04/16/96 | Maeda et al. | | | |
| | 4,733,665 | 03/29/88 | Palmaz | | | |
| | 4,739,762 | 04/26/88 | Palmaz | | | |
| | 4,776,337 | 10/11/88 | Palmaz | | | |
| | 5,102,417 | 04/7/92 | Palmaz | | - 4 | |
| | 5,449,373 | 09/12/95 | Pinchasik et al. | | * | |
| | 5,019,090 | 05/28/91 | Pinchuk | | | |
| | 6,156,052 | 12/5/00 | Richter et al. | | · | |
| | 4,762,128 | 08/9/88 | Rosenbluth | | | |
| | 4,950,227 | 08/21/90 | Savin et al | | | |
| | 5,195,984 | 03/23/93 | Schatz | | | |
| | 5,354,309 | 10/11/94 | Schnepp-Pesch et al. | | | |
| | 5,443,496 | 08/22//95 | Schwartz et al. | | | |
| | 5,651,174 | 07/29/97 | Schwartz et al. | | | · |
| | 5,354,308 | 10/11/94 | Simon et al. | | | |
| . 1 1 | 5,330,500 | 07/19/94 | Song | | | |
| | 4,922,905 | 05/8/90 · | Strecker | | | |
| | 5,161,547 | 11/10/92 | Tower | | | · |
| | 6,193,747 | 02/27/01 | von Oepen | | | |
| | 4,886,062 | 12/12/89 | Wiktor | | | |
| | 4,969,458 | 11/13/90 | Wiktor | | | |
| | 5,133,732 | 07/28/92 | Wiktor | | | |
| | | | | | | |

Page 3 of 5

| | | | | Attorn | ey Docket: | I Se | rial No.: | | Page 3 of 3 |
|---|------|---------------|------------------------|------------|---------------------------------------|---------------|-----------|---------------|-------------|
| 707747770 1440 | | | | | 4024US9 | | /644,465 | | |
| FORM PTO-1449 INFORMATION DISCLOSURE CITATION | | Applicant: | | | | | | | |
| | | | Marshall Israel et al. | | - | | | | |
| | | | Filing | | | oup Art Unit: | | | |
| | | | | t 20, 2003 | 1 3/ | 31 | | | |
| Exam | inar | 1 | <u> </u> | PAIL | NT DOCUMENTS | | | Sub- | |
| Initi | | Patent Number | Issue Da | te | Name | | Class | Class_ | Filing Date |
| n | / | 5,653,727 | 08/5/97 | w | iktor | | | | |
| n | / | 4,830,003 | 05/16/89 | w | olff et al. | | | | |
| n | | 5,104,404 | 04/14/92 | | olff · | | | | |
| | | | | | TENT DOCUMENTS | S | | , | |
| Exam Initi | | Patent Number | Publication Date | on | Country | | Class | Sub- Class | Translation |
| | ~ | 0 335 341 | 10/4/89 | EF | | | | | ☐ Yes ☐ No |
| 1 | | 0 378 151 | 07/18/90 | EF | | | | | ☐ Yes ☐ No |
| | | 0 421 729 | 04/10/91 | EF |) | | | | ☐ Yes ☐ No |
| 1 | | 0 540 290 | 05/5/93 | EF | • | | | | ☐ Yes ☐ No |
| | | 0 541 443 | 05/12/93 | EF | • | | | | ☐ Yes 🖾 No |
| | | 0 566 807 | 10/27/93 | EF | • | , | | | ☐ Yes 🖾 No |
| | | 0 606 165 | 07/13/94 | EF | • | | | | ☐ Yes ☐ No |
| | | 0 669 114 | 06/3/98 | EF | | | | | Yes No |
| | | 0 846 447 | 06/10/98 | E | • | | · | | Yes No |
| | | 1 181 901 | 02/27/02 | EF | | | | , | Yes No |
| | | 0 335 341 | 10/1989 | EF | · · · · · · · · · · · · · · · · · · · | | | | Yes No |
| | | 0 540 290 | 5/1993 | EF |) | | | | Yes No |
| | | 0 566 807 | 10/1993 | EF | · · · · · · · · · · · · · · · · · · · | | | | Yes No |
| | | 94/03127 | 02/17/94 | PC | T | | | | Yes No |
| | | 95/26695 | 10/12/95 | PC | т | | | | Yes No |
| | | 95/31945 | 11/30/95 | PC | т | | | | ☐ Yes ☐ No |
| | | 96/03092 | 02/8/96 | PC | T | | | | ☐ Yes ☐ No |
| | | 96/33671 | 10/31/96 | PC | T | | | | ☐ Yes ☐ No |
| | 1 | 10-500595 | 1/20/1998 | JР | | | | | ☐ Yes ⊠ No |
| | 1 | 1-299550 | 12/4/1989 | JP | | | | | ☐ Yes 🛭 No |

| Pa | ge | 4 | of | 5 |
|----|----|---|----|---|
| | | | | |

| | | | | torney Docket: 03-4024US9 | Serial No.: 10/644,465 | | | | |
|--|----------------------------------|---|---|----------------------------------|--|--|--|---|--|
| FORM PTO-1449 | | 4303-40240S9 10/044,463 Applicant: | | | | | | | |
| INFORMATION DISCLOSURE CITATION | | | Не | enry Marshall Israel et al. | | | | | |
| HALO | auriA | TION | iochodolic ci i | | | ling Date: agust 20, 2003 | Group Art Uni 3731 | it: | |
| FORE | | | | | | PATENT DOCUMENTS | 2121 | | |
| Examir | ner | | | Publication | | | | Sub- | |
| Initia | 1 | | Patent Number | Date | | Country | Class | Class | Translation |
| | | | 6-181993 | 7/5/1994 | | JP | | <u> </u> | ☐ Yes 🛛 No |
| | | 1 | OTHER | DOCUMI | ENT | S (Including Author, Title, D | ate, etc.) | | |
| Trial Transcript, Civil Action No. Defendants' Motion for Leave Plaintiffs' Answering Brief in Counterclaim, Civil Action No. Appendix to Plaintiffs' Answer Answer and Counterclaim, Civil Leave to File an Amended Ansorder of Aug. 15, 2001, Civil Memorandum Opinion of Aug. Memorandum Opinion of Aug. Memorandum Opinion of Aug. 15, 2001, Civil Memorandum Opinion of Aug. 16, 2001, Civil Memorandum Opinion of Aug. 16, 2001, Civil Memorandum Opinion of Aug. 17, 2001, Civil Memorandum Opinion of Aug. | | | | | 99-9-9-10 Find Property of the | 204-SLR, pp. 2247-2256. Dock ile an Amended Answer and Consistion to Defendant' Motion for 1904-SLR. Brief in Opposition to Defendant and Counterclaim, Civil Action No. 99-904-SLR (relating to 2001, Civil Action No. 99-904 alidity). On No. 99-904-SLR (orders regalidity). The the U.S. Patent and Trademant and Trademant in Opposition to Plaint Medinol, Ltd. v. Johnson & Journal of 1905-200, Burmeister et al., file 1907. The trademant of 1907-200, Burmeister et al., file 1907. By 1907-200, Burmeister et al., file 1907. | et, Civil Action ounterclaim, Civil Action ounterclaim, Civil Leave to File and the street of the st | No. 99-904- vil Action No. an Amended eave to File of Defendan LR. tion). on summary s on summar e Jan. 18, 200 2001 for U.S A Preliminal vil Action No. Ser. No. 09/ d. Corporation reporation and | an Amended of Motion for judgment y judgment of for U.S. Ser. S. Ser. No. ry Injunction" o. 00404 SLR 337,629. |
| Japanese Office Action citing li | | | list of references | | | | | | |
| | | | Scimed Life Systems, Inc, et al. vs. Johnson & Johnson, et al., Hearing, 8/8/2001 | | | | | | |
| | | | Scimed Life Systems, Inc, et al. vs. Johnson & Johnson, et al., Trial Vol. 1, 8/23/2001 | | | | | | |
| | | | Scimed Life Systems, Inc, et al. vs. Johnson & Johnson, et al., Trial Vol. 2, 8/24/2001 | | | | · | | |
| Scimed Life Systems, Inc, et al. | | | l. vs | . Johnson & Johnson, et al., Tri | al Vol. 3, 8/27/2 | 2001 | | | |
| | Scimed Life Systems, Inc, et al. | | al. vs. Johnson & Johnson, et al., Trial Vol. 4, 8/28/2001 | | | | | | |
| | | | Scimed Life Systems, Inc, et al. vs. Johnson & Johnson, et al., Trial Vol. 5, 8/29/2001 | | | | | | |
| | | Scimed Life Systems, Inc, et al. vs. Johnson & Johnson, et al., Trial Vol. 6, 8/30/2001 | | | | | | | |
| | | | Scimed Life Systems, Inc, et al. vs. Johnson & Johnson, et al., Trial Vol. 7, 8/31/2001 | | | | | | |

| | | | Page <u>3</u> 01 <u>3</u> | | | | | |
|---|--|--|-------------------------------|--|--|--|--|--|
| | FORM PTO 1440 | Attorney Docket: 4303-4024US9 | Serial No.: 10/644,465 | | | | | |
| NTODAKA | FORM PTO-1449 | Applicant: Henry Marshall Israel et a | ıl. | | | | | |
| INFURMA | TION DISCLOSURE CITATION | Filing Date: August 20, 2003 | Group Art Unit: 3731 | | | | | |
| | OTHER DOCUM | ENTS (Including Author, | Title, Date, etc.) | | | | | |
| · N | Scimed Life Systems, Inc, et a | l. vs. Johnson & Johnson, e | t al., Trial Vol. 8, 9/4/2001 | | | | | |
| N | Scimed Life Systems, Inc, et al. vs. Johnson & Johnson, et al., Trial Vol. 9, 9/5/2001 | | | | | | | |
| 2 | Scimed Life Systems, Inc, et al. vs. Johnson & Johnson, et al., Trial Vol. 10, 9/6/2001 | | | | | | | |
| Scimed Life Systems, Inc, et al. vs. Johnson & Johnson, et al., Trial Vol. 11, 9/7/2001 | | | | | | | | |
| | | | | | | | | |
| Examiner | n m | Date Considered | 1-18.07 | | | | | |
| D | EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include conv. of this form with next communication to Applicant. | | | | | | | |